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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/896,479

Filing Date

October 25, 2001

First Named Inventor

Tsuguo Maru

Group Art Unit

N/A

Examiner Name

Not Yet Assigned

Attorney Docket Number

A2617.0021/P021

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below)

With references

Remarks

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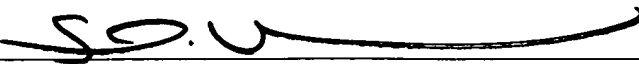
Technology Center 2600

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual Name

DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP  
Steven I. Weisburd

Signature



Date

February 5, 2002



2100 2651

0410 #6 BT 03-28-02

Docket No.: A2617.0021/P021  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Tsuguo Maru

Application No.: 09/896,479

Filed: October 25, 2001

Group Art Unit: N/A

Examiner: Not Yet Assigned

For: METHOD OF DECODING TURBO-  
ENCODED DATA AND RECEIVER FOR  
DECODING TURBO-ENCODED DATA

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INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned.

A copy of each reference on PTO/SB/08 is attached.

A concise explanation of relevance of the items listed on form PTO/SB/08 is:

☒ not given

☐ given for each listed item

☐ given for only non-English language listed items

☐ in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references

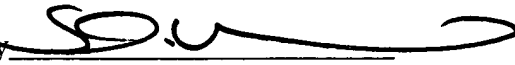
While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. A2617.0021/P021. A duplicate copy of this paper is enclosed.

Dated: February 5, 2002

Respectfully submitted,

By 

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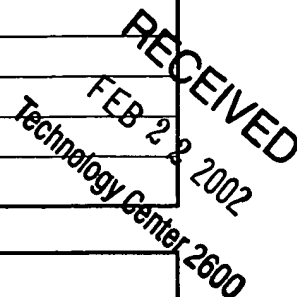


PTO/SB/08A (08-00)

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| Substitute for form 1449A/PTO                                                                     |   |    |   | <b>Complete if Known</b> |                  |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   |    |   | Application Number       | 09/896,479       |
|                                                                                                   |   |    |   | Filing Date              | October 25, 2001 |
|                                                                                                   |   |    |   | First Named Inventor     | Tsuguo Maru      |
|                                                                                                   |   |    |   | Group Art Unit           | N/A              |
|                                                                                                   |   |    |   | Examiner Name            | Not Yet Assigned |
|                                                                                                   |   |    |   | Attorney Docket Number   | A2617.0021/P021  |
| Sheet                                                                                             | 1 | of | 1 |                          |                  |



| U.S. PATENT DOCUMENTS |                       |                             |                                   |                                                 |                                                  |                                                                           |
|-----------------------|-----------------------|-----------------------------|-----------------------------------|-------------------------------------------------|--------------------------------------------------|---------------------------------------------------------------------------|
| Examiner Initials*    | Cite No. <sup>1</sup> | U.S. Patent Document Number | Kind Code <sup>2</sup> (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| FOREIGN PATENT DOCUMENTS |                       |                         |                     |                                   |                                                 |                                                  |                                                                           |                |
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| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document |                     |                                   | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) |                                                 |                                                  |                                                                           |                |
|                          | A                     | GB                      | 2 354 818 A         |                                   | Nippon Electric                                 | 04/04/2001                                       |                                                                           |                |
|                          | B                     | EP                      | 1 017 178 A         |                                   | Sony Corp                                       | 07/05/2000                                       |                                                                           |                |
|                          | C                     | EP                      | 1 054 523 A         |                                   | Mitsubishi Electric Corp.                       | 11/22/2000                                       |                                                                           |                |

| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS |                       |                                                                                                                                                                                                                                                                |                |
|---------------------------------------------------|-----------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| Examiner Initials*                                | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                                   | D                     | Communication, European Search Report, 01305811.0-2206-, 12/21/2001                                                                                                                                                                                            |                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.